

ORDINANCES

OF

SAROJ INTERNATIONAL UNIVERSITY

(UNDER SECTION 35 OF THE U.P. PRIVATE UNIVERSITIES ACT, 2019)



Lucknow (U.P.)

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ORDINANCES OF THE UNIVERSITY

1. SHORT TITLE AND COMMENCEMENT

- 1.1 The “Ordinances” shall mean the Ordinances of Saroj International University, Lucknow, Uttar Pradesh, framed under the Uttar Pradesh Private State Universities Act, 2019.
- 1.2 They shall come into force with effect from the date of approval of the Executive Council.
- 1.4 Nothing in these Ordinances shall be deemed to debar the University to amend these Ordinances subsequently.
- 1.5 Unless provided otherwise, the regulations, orders, memos, codes, procedures, policies, schemes etc., on the subjects covered under these Ordinances, shall cease to operate from the date of enactment of these Ordinances.
- 1.6 Any abbreviation or shortened form of a word shall represent and mean exactly that word as defined in the Act, the Statutes, these Ordinances, Manuals and such other documents of the University, as may be framed from time to time.

2. DEFINITIONS

- 2.1 “Act” means the Uttar Pradesh Private Universities Act, 2019.
- 2.2 “Aggrieved Student” means a student, who has any complaint in the matters relating to or connected with the grievances defined under these Ordinances.
- 2.3 “Board of Studies” (BOS) means the committee of a Department constituted, as per the provisions made in Section 26 of the Statutes, to design course curriculum, frame criterion for evaluation and to provide overall direction to the academic programmes undertaken by the Department/ Institute.
- 2.4 “Class” means specific group of students meeting for specific instructional purposes; and it may mean the whole series of scheduled meetings or just one session; and a Class may be a lecture, a tutorial or a practical/Studio class.
- 2.5 “Clause” means duly numbered Clauses of these Ordinances.

- 2.6 “Commission” means the University Grants Commission established under the UGC Act, 1956.
- 2.7 “Continuing Student” means a student who has completed at least one semester prior to the current semester.
- 2.8 “Course Detail” means detailed teaching scheme of a course.
- 2.9 “Course” means a component of a programme. All courses need not carry the same weight. The courses shall define learning objectives and learning outcomes. A course shall be designed to comprise lectures/ tutorials/laboratory work/ field work/ outreach activities/ project work/ vocational training/viva/ seminars/ term papers/assignments/ presentations/ self-study etc. or a combination of some of these.
- 2.10 “Credit” means a unit by which the course work is measured. It determines the number of hours of instructions required per week. One credit is equivalent to one hour of teaching (lecture or tutorial) or two hours of practical work/field work per week.
- 2.11 “Declared Admission Policy” means such policy, including the process there under, for admission to a course or program of study as may be offered by the University by publication in the prospectus of the University.
- 2.12 “Department” means the Department of studies and includes Centre of Studies and Research.
- 2.13 “Disciplinary Probation” refers to the status assigned to a student on committing an act of misconduct, academic or otherwise and once assigned, the student remains on disciplinary probation for the period decided by the Vice Chancellor.
- 2.14 “Enrolment Number” means a unique number allotted as System Id, to a student on his admission in the University. Enrolment number/System Id remains unchanged throughout the duration of student’s stay at the University.
- 2.15 “ESE” means End-Semester Examination.

- 2.16 Ex-Student: a student who has F.R. or F.I. in a/ few courses after appearing in final year/ semester examinations and who registers afresh to pass the said course(s) as F.R. or F.I. on payment of prescribed fee.
- 2.17 “Expulsion” means permanent removal from the University rolls with prohibition on future enrolment.
- 2.18 “Faculty Board” of each Institute / Department of studies shall be constituted as per the provision of Section 25 of the Statutes.
- 2.19 “Fresher” means a student who is matriculated into a Programme for the first time.
- 2.20 “Government” means State Government of Uttar Pradesh.
- 2.21 “He” includes She and “His/Him” includes Her.
- 2.22 “Institution” means, an institution established within the University for a particular discipline or activity;
- 2.23 “Institutional Student Grievance Redressal Committee” (ISGRC) means a committee constituted under this Ordinance at the level of the University, for dealing with grievances which do not relate to a Institute, Department or Centre of the University,
e.g. Administration, Accounts, Admission Cell, International Division, Examination Cell, Inter-Hostel Administration (Hostel & Mess/Food), Estates, Maintenance, House Keeping, Student Welfare and Sports, Transport, Security and other common facilities.
- 2.24 “LTP” means hours of Lecture, Tutorial and Practical respectively of a course per week in a regular semester.
- 2.25 “Matriculation” means registration of provisionally admitted students by the concerned Institute/Department.
- 2.26 “Mentor” means a faculty member who acts as counsellor, guide, motivator, and role model to a group of students (mentees), assigned to him. He acts as career guide to his mentees and also advises them course- specific and programme- specific information.
- 2.27 “MSE” means Mid-Semester Examination.

- 2.28 “Ombudsperson” means the Ombudsperson appointed by the State Government in terms of UGC (Redress of Grievances of Students) Regulations, 2019;
- 2.29 “Practical” means classes that require students (generally in smaller groups compared to lecture) to perform certain functions that help them to test and understand what is being taught in the lecture or otherwise.
- 2.30 “Pre-requisites” means conditions that must be met before a student can register for a course.
- 2.31 “Programme” means an educational programme leading to award of a Degree, diploma or certificate.
- 2.32 “Programme Detail” means teaching scheme and curriculum of a Programme.
- 2.33 “Prospectus” means and includes any publication, whether in print or otherwise, issued for providing fair and transparent information, relating to University, to the general public (including to those seeking admission in the University) by the University or any authority or person authorized by it to do so;
- 2.34 “Roll Number” is the number issued to every student, to be printed on his Admit Card. The card shall carry the details of the Course(s) that a student is supposed to be examined in. The roll number shall remain valid for the total duration of a Programme.
- 2.35 “Rustication” from the University means the withdrawal of right to access to the entire premises and facilities of the University campus for a specified period and/ or till the fulfillment of specified conditions.
- 2.36 “Institute Level Student Grievance Redressal Committee” (SLSGRC) means a committee constituted under this Ordinance, for a Institute, Department, or Centre within the Institute.
- 2.37 “Institute” means an Institute established by the University in accordance with the Act and the Statutes of the University.
- 2.38 “Semester” shall consist of 15-18 weeks of academic work equivalent to around 90 teaching days.

- 2.39 “Student” means a person enrolled, or seeking admission to be enrolled, in the University.
- 2.40 “Suspension” from the University means withdrawal of the right to access to all or some of the facilities or premise of the University as an interim measure, pending further investigation.
- 2.41 “Syllabi” means details of the course and includes description of nature, duration, pedagogy, syllabus, eligibility and related details.
- 2.42 “Tutorial” means a class that offers students (generally in smaller groups compared to lectures) an opportunity to talk about subject being taught, ask questions, discuss subject matter with their classmates and the teacher.
- 2.43 “University Student Grievance Redressal Committee” (USGRC) means a committee constituted at the level of the University under this Ordinance, for dealing with the grievances arising out of decisions of the Institute Level Student Grievance Redressal Committee and Institutional Student Grievance Redressal Committee.
- 2.44 “University” means the Saroj International University, Uttar Pradesh established under the Uttar Pradesh Private Universities Act, 2019; and

Definitions specified in the University Act and the Statutes shall apply *ipso facto* unless provided otherwise.

3. ADMISSION AND ENROLMENT OF STUDENTS

- 3.1 Admission to different programmes of the University shall be based on merit, providing equal opportunity to all without any prejudice towards gender, religion, caste, creed or nationality of a candidate.

The University may make suitable provisions for reservation for the students belonging to weaker section(s) of the society and others in accordance with the provisions of the Act of the University and decision of the Executive Council.

- 3.2 The admission criterion recommended by the Admission Committee and approved by the Academic Council shall be followed for admission in all the Programmes of studies.

Provided that the number of seats in each programme of study, as determined by the Admission Committee and approved by Academic Council may, at the discretion of the Vice Chancellor in his capacity as the Chairman of the Academic Council, be increased by a maximum of 15% of the approved seats for additional intake of students in the said program. This proviso, however, shall be subject to the condition that there are no overhead costs of faculty and resources.

Provided further, that the criterion, if any, prescribed by respective Statutory Council shall be followed in admissions to council-based programmes. However, it shall be open to the University to admit less number of students than the intake already approved by the councils, in case there is no demand.

- 3.3 The University may make provisions for admission through lateral entry in programmes, as may be approved from time to time.
- 3.4 The registration of the provisionally admitted and continuing students shall be done by the Institute(s) on dates notified in Academic Calendar each year.

3.5 ENROLMENT

- 3.5.1 The student shall be given unique and permanent enrolment number and issued with an identity card bearing enrolment number (i.e. system id), and same must be quoted by the student in all communications with the University and in subsequent applications for admission to an examination of the University.
- 3.5.2 The Registrar shall maintain a record of all enrolled students studying in the various Institutes/Departments/Centres of the University or carrying on research work in the University.
- 3.5.3 A student applying for change of his name in the record of students, shall submit his application to the Registrar accompanied by
 - a. The prescribed fee;
 - b. An Affidavit relating to his present and proposed name, duly sworn in the presence of a Magistrate by himself;
 - c. A publication in a newspaper in which the proposed change of name has been advertised. However, the provision relating to publication shall not be applicable in

case where a woman student wants to change in her name following her marriage in which case marriage certificate indicating the new name shall be valid.

3.6 MIGRATION OF STUDENTS

Inter-university migration of students may be allowed in special circumstances as per the policy notified on the subject. It shall be allowed under special circumstances on the recommendations of the Equivalence Committee, appointed by the Vice-Chancellor under the chairmanship of the Dean Academic Affairs.

If a student takes a Migration Certificate to join another University, his enrolment to the University shall lapse until such time as He may subsequently return with a Migration Certificate from that University, to take admission in another programme of this University. Fresh enrolment in such cases shall be necessary.

3.7 TRANSFER OF PROGRAMME

A first-year student, subject to availability of seats and fulfilling the minimum qualifications, may be allowed to change his Programme on the recommendation of the Equivalence Committee appointed by Vice Chancellor under the chairmanship of the Dean Academic Affairs.

3.8 PROCEDURE OF WITHDRAWAL

Student may withdraw his admission as specified by the University on or before the prescribed date. The University will refund the deposited amount after deducting processing fee in accordance with the guidelines issues by the concerned regulatory authority, from time to time.

4. COURSES OF STUDY FOR DEGREE, DIPLOMA, AND CERTIFICATE PROGRAMMES

4.1. Programmes of Studies

- 4.1.1 The University on the recommendations of the Academic Council and with the approval of the Executive Council, shall offer under-graduate and post-graduate programmes in areas of Artificial Intelligence, Arts, Drama, Anthropology, Languages, Management, Entrepreneurship, Basic & Health Sciences, History,

Education, Business Studies,

Commerce, Culture, Fashion Design, Engineering and Technology, Geography, History, Humanities, Languages, Life Sciences, Literature, Management, Media and Journalism, Pharmacy, Philosophy, Political Science, Sports Psychology, Script Writing, Cinematography, Acting, VFX and gaming, Event Management, Social Sciences, and in other streams as approved from time to time. The University may also run Diploma and Certificate courses of shorter durations.

- 4.1.2 The University shall also offer doctoral and or post-doctoral programme in chosen areas of a specialization in regard to the degree programmes.
- 4.1.3 The nomenclature of various degrees shall be in consonance with the regulations framed by the regulatory authorities as defined in the Act, or as may be approved by the Executive Council.
- 4.1.4 The structure and duration of the academic programmes shall be as approved by the Academic Council.
- 4.1.5 The University shall make provisions in its regulations to enable students to concurrently convert their courses of studies into integrated degree programmes of durations approved by the Academic Council wherever permissible by the statutory regulatory bodies.
- 4.1.6 The University may also run courses through distance learning, correspondence, and online mode. The guidelines of University Grants Commission, however, are to be complied with.
- 4.1.7 (a) The administrative structure of a Institute shall be as approved by the Executive Council. The Executive Council shall also lay guidelines for smooth running and effective management of academic programmes, the cooperation and coordination amongst the Institutes and the linkages with other academic and research organisation(s) as well as the Industry.

(b) All the academic programmes framed by Board of Studies of a Department and concurred in by the Faculty Board of the Institute shall be submitted to the Academic Council for approval by the Executive Council.

© The Executive Council as and when deemed necessary, may appoint committee(s) for periodic review of a programme, a Department or a Institute.

4.2 Registration of Students

4.2.1 Academic Registration

- (a) The Head of the Department/Dean of the Institute shall issue the list of courses to be offered during the semester well before the Registration date(s) specified in the Academic Calendar.
- (b) Every student shall register himself for the courses that he wishes to pursue in that semester as prescribed.
- (c) Each Head of Department, with the approval by Dean of the Institute, shall nominate Mentors from amongst the faculty members of the Department to provide necessary information on the courses and to advise the students on registration.

4.2.2 Registration for Semesters

- a. Registration for each semester has to be done on date(s) notified in Academic Calendar. It will involve:
 - i. Clearance of all dues of the University,
 - ii. Submitting the registration form on the date(s) specified in the Academic Calendar.
- b. A student must ensure that he satisfies the pre-requisites, if any, for each course he registers for. The sole responsibility for registration rests with the student.
- c. In case a student is registering for course(s) other than those prescribed in the regular scheme for that semester, the University shall not be responsible for a clash-free scheduling of such course(s). Student himself shall verify the time-table and ensure that the regular course(s) do not clash with other course(s) he is choosing.
- d. The student will not be allowed to undergo academic registration if the 'Maximum Duration for Completion of the Degree/Diploma/Certificate' does not permit so.

- e. A student will not get any credit for a course for which he has not registered or has registered without being eligible.

4.2.3 Late Registration

- (a) The maximum time permitted for late registration on payment of prescribed fee shall be two weeks from the date of conclusion of registration specified in the Academic Calendar.
- (b) Late registration may be allowed, beyond the period of two weeks, by the Vice Chancellor or any other authorized official only on valid reasons and on the recommendations of the Mentor/ Head of Department/ Dean of Institute;

Provided that in exceptional circumstances, on the recommendations of Dean of a Institute, the Vice Chancellor may further allow late registration.

- (c) Late Registration may be allowed for a student who is on disciplinary probation, only with the approval of the Vice-Chancellor.
- (d) Students registering late may be allowed to compensate for the loss of any component of continuous assessment by the Dean of the Institute. The student may be allowed to complete the same on date(s) decided by the Dean of the Institute.

4.3 Adding and Dropping of Courses

- (a) A student on the recommendations of his Mentor, duly endorsed by his Head of the Department may be allowed by the Dean to add or drop course(s) within a period of two weeks from the date of commencement of a semester as notified in Academic Calendar.
- (b) A student who is on disciplinary probation shall be allowed to change the course, only with the approval of the Vice-Chancellor.
- (c) A student shall be required to drop a course at any stage if it is found that he does not meet the pre-requisites of the course, or if there is a clash in the student's timetable preventing him from attending the course, or if he is found not entitled to register for that course for any other reason(s). This facility however shall not be extended to a student who has been permitted late registration, as a special case.

4.4. Maximum Duration for the Completion of a Degree or a Diploma Programme

- 4.4.1 The maximum duration for completion of a degree or a diploma Programme, shall be N+2 years, where N, stands for the normal or minimum duration prescribed for completion of any Programme;

Provided, that in exceptional circumstance a further extension of one more year may be granted. The Vice Chancellor may consider allowing extension by one year beyond N+2 years for completion of a degree on case to case basis, depending on the merit of each case.

- 4.4.2 The enrolment of the student, who fails to complete the requirements of the award of a degree/diploma in extended duration, shall stand cancelled and no degree/ diploma shall be awarded.
- 4.4.3 The time taken to improve the score/grade/CGPA shall be counted in 'Maximum Duration allowed for completion of a Programme'.

4.5 Duration of the Semester

- 4.5.1 The date of commencement and conclusion of Odd Semester and Even Semester shall be as notified in the Academic Calendar.
- 4.5.2 The duration of each semester shall be on an average 15-18 weeks excluding examinations - 6 days a week-with two Saturdays off.

5. MEDIUM OF INSTRUCTION AND EXAMINATIONS

English shall be the medium of instruction and examinations. The University may, however, decide to introduce regional language(s) as a medium of instruction and examination

6. UNIVERSITY FEE

- 6.1 The fee structure of various programmes shall be concomitant with the objective of providing quality education to the University students.
- 6.2 The tuition, examination and any other fee chargeable from the students shall be as recommended by the Fee Fixation Committee and approved by the Executive Council keeping in view of the provisions of Section 48 of the Act. The fee revision shall be duly notified.

The revised fee shall be applicable to all the students admitted in that Academic year and onwards.

7. AWARD OF FELLOWSHIPS, SCHOLARSHIPS, MEDALS AND PRIZES

- 7.1 The Fellowships, Scholarships, Medals and Prizes shall be instituted with the approval of the Executive Council.
- 7.2 The Executive Council may appoint committee(s) to frame guidelines for the award of fellowships, scholarships, medals and prizes.
- 7.3 The eligibility criteria, values, tenure, methodology and procedure for selection of awardees shall be as approved by the Executive Council.

8. EXAMINATIONS AND EVALUATION

8.1 Registration for Examination

- (i) No student shall be admitted to any examination of the University, unless he has been duly enrolled/registered as a student for the prescribed number of courses.
- (ii) A student who fails to submit the registration form (every Semester) shall be deemed as an unregistered student and shall not be allowed to attend classes and take examinations even if he has paid the fees.

8.2 Deficient Student

- (i) A deficient student is one who has either not registered himself for one or more courses or has registered but not completed successfully one or more courses of the previous semester(s) *or* has a Cumulative Grade Point Average (CGPA) less than the minimum CGPA required for the award of a Degree or a Diploma.
- (ii) The course planning of such deficient student shall be framed by the Mentor concerned in such a manner that as many backlog courses as are available may be included. Further, backlog courses may be registered for, as far as possible, in the sequence of their accumulation.

- (iii) The facility of repeating or improvement of grade in a compulsory course(s) shall be available within the maximum duration of the Programme as stipulated in the ordinances.
- (iv) If a student fails in an elective course, he may opt to re-register for the same course or may opt for other prescribed course of same credit provided the maximum duration for the completion of Degree/ Diploma/ Certificate permits so.
- (v) Student (s) unable to secure minimum CGPA for promotion from one Academic year to another will have to repeat the whole year. While repeating the whole year, the student shall complete all the requirements together with other students admitted in the Programme in that Academic year, while the System Id/ enrolment number allotted at the time of registration shall be retained, his old marks will be replaced by the marks obtained in Continuous Assessment (CA), MSE and ESE examination held in current Academic year.
- (vi) An ex-student who has FI in a/ few courses after appearing in final year/ semester examinations may register afresh to pass the said course(s) as FI on payment of prescribed fee.

8.3 Components of Evaluation

Unless provided otherwise in the Course and/ or Programme details, the components of Evaluation for each course shall be as under:

Theory Courses:

(a) Continuous Assessment (CA)	:	25 marks
(b) Mid-Semester Examination (MSE)	:	25 marks
(c) End-Semester Examination (ESE)	:	50 marks

To pass a course (theory/ major/ minor/ core), it will be necessary to score a minimum of 17 marks (33% of 50) out of a maximum of 50 marks in the ESE, and score minimum 33%.

The components of evaluation of laboratory/ Practical Courses are distributed as follows:

Laboratory/ Practical Courses:

(a) Continuous Assessment (CA)	:	60 marks
(b) End-Semester Examination (ESE)	:	40 marks

To pass in practical courses, it will be necessary to score minimum 20 marks out of maximum 50 marks (40% of 50) in ESE, and score minimum 40 marks in the aggregate in CA, MSE and ESE. For practical examinations, external examiners will be appointed by the Dean Academic Affairs on the recommendation of the HoD/ Institute Dean.

There shall be one/two examiner(s) and one external examiner appointed by the University.

For Council-based Programmes:

For all Council-based programmes, the University shall follow the rules and regulations of the respective Councils for Assessment and Evaluation.

8.4 Conduct of Examinations

8.4.1 The Mid-Semester and End-Semester examinations shall be conducted by the Controller of Examination of the University on the date(s) notified in Academic Calendar.

8.4.2 Transparency guidelines

- (i) All marks obtained in assignments/ practical, presentations or a report shall be made available to the students within a reasonable time after evaluation.
- (ii) A student shall be allowed to see his MSE answer books. Error of evaluation, if any, will be reported to the HOD. Any change in the marks will be made with due approval of the Dean.
- (iii) A student shall be allowed to see all the answer-books of ESE at one time and location as notified by the concerned Institute. No second opportunity shall be provided for the purpose. A student may seek re-evaluation of specific question by making a written request in the following types of complaints:
 - (a) Question left ungraded, and
 - (b) A correct answer has been awarded a zero.

No other request of re-evaluation shall be entertained. The result shall be conveyed to the student.

- (iv) A student not satisfied with the result of re-evaluation, may request for challenged

evaluation on deposit of the specified fee. If marks increase by 5 per cent of the maximum marks or more, the revision shall be made, and money refunded. There shall be no increase of marks if the change is less than 5 per cent, and the fee deposited will be forfeited. If marks decrease by more than 5 percent, the lesser marks shall be awarded.

8.5 Attendance Requirements

8.5.1 Course-Wise Attendance

A student is expected to attend all the classes consisting of lectures, tutorials, labs, and workshop sessions. A student may be debarred from appearing in the ESE of one or more courses for shortage of attendance as enumerated below:

- (a) Attendance shall be monitored course-wise.
- (b) A student shall be required to have at least 75% attendance in a course will be eligible to appear in MSE as well as in ESE in the said course.
- (c) Student shall be given attendance on account of his participation in authorized extra-curricular activities as well as the activities related to placement, equivalent to the number of days of participation in those events/ activities, provided prior approval has been obtained from the competent authority.
- (d) In extreme cases of hardship on account of hospitalization of self, immobilization for valid reasons, or in case of natural calamity, the Dean of the Institute may allow attendance to the extent of 10%, provided the claim is duly supported by requisite documents to the satisfaction of the Dean concerned. A further increase and consideration for 5% may be given by Vice Chancellor, on recommendation of the Dean in exceptional cases.
- (e) In case of hospitalization, complete medical treatment records of the hospital, may be verified by the University.
- (f) In case of natural calamity, the official government notification relating to the calamity in the concerned region will suffice.
- (g) In case a student has been allowed to change the course or programme during the current semester, the classes attended in previous course/ programme will also be considered in the calculation of attendance, and the eligibility for appearing in ESE will be determined accordingly.
- (h) Irrespective of whether a student has registered late or on time (except for those

who join the programme for the first time), calculation of attendance shall be based on all the classes held, and not from the date on which the student has registered, unless otherwise decided by the Registrar in the case of fresh entrants only.

8.5.1.1 ACADEMIC PROGRESSION

- (i.) A student will always be promoted from the current Odd semester to the next Even semester, irrespective of the result of the current Odd semester.
- (ii.) Promotion from the current Even semester to next Odd semester i.e. from current year to the next year shall be subjected to the following conditions:
 - a. A student shall have a CGPA of at least 4.00 out of 10.00 in the first year of study to be eligible to register for courses offered in third semester (second year). Student shall maintain a CGPA of at least 5.00 out of 10.00 at the end of second and subsequent years for academic progression.
 - b. If a student does not meet the academic progression criteria as stated above (ii(a)), then he/ she must repeat the same year of study to continue in the programme. During repeating the year, the student will have to pay full fee.
 - c. Student shall be given option to carry the credit of passed course(s) while repeating the year.

8.5.2 GRADE IMPROVEMENT

Students who secure CGPA less than 6.5 after completing the pre-requisite credits for the award of degree, and wish to improve their CGPA may be permitted for CGPA improvement. Such students shall be allowed to withdraw the grade given in the course(s) earlier passed with low grade and shall be permitted to reappear for the examinations for improving the grade(s) and in turn his CGPA. Such students shall have to comply with the following conditions:

- (a) Student will submit a written application to Dean Academics seeking his permission through the Dean/Head of the Department from where he has graduated to register for CGPA improvement within one month from the date of declaration of his exam result; provided that he should not have taken leaving Certificate from the university and ii) Degree from the University. No student will be admitted once the course registration process of improvement examination ends.
- (b) For grade improvement, a student can register for a maximum of 05 courses in which he has secured a B, C or P grades. In case a student wishes to register for more than 05 courses, he will need to obtain special permission from the Vice-Chancellor.
- (c) A student can choose a maximum three theory courses from a particular semester in which he has secured B, C or P grade, the student will have to register for these courses in a particular semester in which those courses are offered.
- (d) At the time of registration student will surrender all the original Grade Cards received

by him from the university. The maximum grade awarded would be 'A' in the courses in which he appears for grade improvement.

- (e) Grade improvement shall be allowed only in theory courses and it shall not be permitted in courses like Minor Projects, Major Projects / projects, Seminars, Laboratory Courses, Term Paper, etc. He will have to give an affidavit on Rs.100/ judicial stamp paper that he will not use the surrendered Grade Card till he gets official result of the courses for which he wishes to appear for grade improvement. No change will be allowed after registration of a course(s) for grade improvement.
 - (f) Student wishing to improve his grade will have to pay the requisite fee as Passing a course in which student got FI grade prescribed by the University.
 - (g) (g) Student wishing to avail the facility of grade improvement will have to pass in all the courses at a time for which he/she has registered for. He/She will not be entitled to appear in the summer term or re-examination in such cases.
 - (h) Only one attempt will be permissible to a student wishing to use the facility of grade improvement. If the student fails to secure higher grade(s) the original result (grade) before registering for improvement will be retained.
 - (i) Student who improves his CGPA will be issued fresh Grade Card(s) by the university. These Grade Cards will clearly indicate "Grade Improvement" against the course for which the students re-appeared. The date on the new Grade Card will be that as issued for other students appearing in those courses.
 - (j) Such students who appear for grade improvement shall not be considered for any Merit Position or Medal.
- i. A student securing FI grade has following options to pass the course:
- a. by re-registering the course in subsequent semester and appearing in all tests, assignments, and examinations, and pass the course as if it is a fresh course;
 - b. by registering the course in subsequent semester (Odd/Even when course is offered as regular course) or summer term or in subsequent semester by depositing specified fee, as per the schedule given in the Academic Calendar, and appearing in all components of examinations (CA+MSE/ ESE) wherein he failed in first / earlier attempt and pass with at least 30% marks.
 - c. students having FI grade in Odd Semester shall be allowed to appear in the ESE of subsequent Odd Semester. Students having FI grade in Even Semester shall be allowed to appear in ESE of subsequent Even Semester. Students who appeared in FI grade course(s) in ESE of regular semester but could not clear the course(s) may do registration by depositing specified fee for Summer Term examination as per the schedule given in Academic Calendar. The registration fee shall be per subject/course as specified by the University from time to time.
 - d. in case the student got FI on account of shortage in attendance or on account of abstaining in examination(s) he shall take all examinations and secure at least 30% in ESE as well as 30% marks in CA, MSE and ESE taken together.
 - e. a student can replace an elective course by another elective course. If a course

is discontinued by the Department, the student shall be required to register for an equivalent course of same credit.

- f. a student will be permitted to register during Summer Term for the FI courses for a maximum of 15 credits or maximum of 4 courses whichever is beneficial to him.
- ii. The procedure for conducting repeat courses shall be as under:
 - (a) The student will be assigned an instructor with whom he will interact at least once a week as scheduled.
 - (b) The instructor will assign home assignment to the student and report back in the meeting to be held in the next week.
 - (c) The instructor shall discuss and evaluate the assignments and assign marks.
 - (d) The instructor may conduct viva to ascertain knowledge gained.
 - (e) Cumulative marks shall account for CA.
 - (f) The instructor shall also conduct MSE for that course.
 - (g) The student needs to attend the required percentage of the scheduled meetings, (as per the attendance rules in force), failing which an FI grade shall again be awarded.
 - (h) The student who has not been debarred shall be allowed to appear in the ESE.
 - (i) Standard rules for passing a course shall apply.
 - (j) The final grade secured in the course shall be capped at A.

8.5.3 Examination on Demand

- i. After the semester is over, a student, having more than 30% marks in CA+MSE, but less than 30% marks in ESE, and not debarred in any course, may request COE for 'Examination on Demand' of ESE during the subsequent semester. The fee for such an examination shall be as specified by the University, from time to time for each course.
- ii. A student who desires 'Examination on Demand' shall make a written request to COE (through Dy. COE of the concerned Institute) along with examination fee, at least two weeks prior to the requested date of examination. COE may schedule the date after taking University's convenience into account.
- iii. The grade earned through the 'Examination on Demand' (based on previously earned CA + MSE marks + marks obtained in the 'Examination on Demand') shall be capped at 'A' grade.

8.6.4. Yearly Promotion

- i. A student shall have a CGPA of at least 4.0/10.0 in the first year of study to be

eligible to register for courses offered in third semester. Student shall maintain a CGPA of at least 5.0/10 in the second and subsequent years for academic progression.

- ii. If a student fails to fulfill the criteria of academic progression (as given in sub-section (i)) then the he is to repeat the same year of study to continue in programme. Student shall be given option to carry the credit of passed course(s) while repeating the year.

8.6.5 Council-based programmes

For all council-based programmes, the University shall follow the rules and regulations of the respective Councils.

8.6 Use of Unfair Means

An Examination Manual shall provide for what constitutes use of unfair means and penalties there for.

8.7 System of Grading

Unless otherwise prescribed by the statutory regulatory authority of a Programme, the University shall use a ten-point broad-band grading system.

- 8.7.1 Each Programme shall have prescribed number of credits assigned to it, depending upon the academic load of the course determined by the weekly contact hours. The credits assigned shall be provided in course details.

- 8.7.2 The credits assigned to a course reflect its weightage in determination of the Grade point. The courses that have not been assigned any credit shall be treated as non-credit courses.

8.8 Award of Grades

- 8.8.1 A student shall be awarded a letter Grade in each course.

- 8.8.2 The following letter grades shall be used to report the performance of a student:

Grades and Grade Points		
Letter Grades	Description	Grade Points
O	Outstanding	10
A+	Excellent	9
A	Very Good	8

B+	Good	7
B	Above Average	6
C	Average	5
P	Pass	4
F	Fail	0
Ab	Absent	0

8.8.3 The following grades shall be used for non-credit courses:

Grades and Grade Points		
Letter Grades	Description	Grade Points
S	Satisfactory	0
X	Unsatisfactory	0

Note: The Grades shall be awarded by competent authority as per procedure laid down by the Academic Council.

8.8.4 General guidelines for the award of grades

- (i) Evaluation of different components of a course outlined in the course plan shall be done in marks.
- (ii) The marks obtained in various components shall be added to get total marks secured on a 100-point scale for theory and laboratory courses.
- (iii) The End-Semester Examinations' question paper shall cover all the sections of the syllabus.
- (iv) The grades shall be notified with the approval of Vice-Chancellor on the recommendations of the Grade Moderation Committee (GMC). The GMC shall consist of:
 - (i) Dean Academic Affairs - Chairman
 - (ii) Dean of the Institute - Member
 - (iii) Controller of Examination - Convener

8.8.5 Semester Grade Point Average (SGPA)

The Semester Grade Point Average is a weighted average of the grade points earned by a student in all the courses credited and describes his academic performance in a semester. If the grade point associated with the letter grades awarded to a student are say, g_1, g_2, g_3, \dots and the corresponding weightage is (credits) are say, w_1, w_2, w_3, \dots the SGPA is given by:

$$SGPA = \frac{w_1g_1 + w_2g_2 + w_3g_3 + \dots}{w_1 + w_2 + w_3 + \dots}$$

8.8.6 Cumulative Grade Point Average (CGPA)

The Cumulative Grade Point Average indicates overall academic performance of a student in all the courses registered up to and including the latest completed semester. It is computed in the same manner as SGPA, considering all the courses (say, n), and is given by:

$$CGPA(S_j) = \frac{\sum (C_i \times G_i)}{\sum C_i}$$

C_i = number of credits of the i th course in j th semester

G_i = grade point scored by the student in the i th course in j th semester.

Note:

- (i) A student shall be required to complete successfully all the courses of the curriculum prescribed for the Programme and attain a minimum level of academic performance, by way of obtaining a minimum CGPA of 5.0 in UG Programmes as well as PG Programmes, until otherwise decided by the Academic Council.
- (ii) A student shall normally have to clear his backlogs in the sequence in which he has obtained the low grades.
- (iii) A copy of the grade card is issued to each student at the end of a Semester. A duplicate copy, if required, can be obtained on payment of the prescribed fee.
- (iv) If a student fails at the end of a semester to achieve minimum required SGPA, he shall be placed on ACADEMIC PROBATION during the semester immediately following. He may either repeat the course(s) in which he has obtained 'P' or 'F' grade or opt for a new course of the same category/

discipline/ subject as and when offered, in order to complete the credit requirement.

8.8.7 Conversion factor for converting CGPA into marks equivalent

If a conversion to marks is required, the following formula shall be used to calculate the same:

The equivalent percentage of Marks= CGPA x 10.

8.9 Minimum CGPA Requirement for award of Degree/ Diploma

8.9.1 Under-Graduate and Post-Graduate Programme

Unless approved otherwise, the minimum CGPA requirement for the award of a degree in an Under-Graduate Programme shall be 5.00 subject to getting a minimum of 'P' or a higher grade in each of the courses offered and also satisfying other conditions as specified in the Programme details.

8.10.5 Diploma Programme

Criterion as decided by the University from time to time shall be applicable.

8.10 RESULT

8.10.1

- (i) The results for each semester shall be declared on the date(s) notified in Academic Calendar.
- (ii) The mathematical principle of rounding off shall be followed to round off the grade points up to two places after decimal.
- (iii) The result of a student may be withheld if he has not paid the university dues, or a case of misconduct or use of unfair means pending against him; or for any other reason, as may be decided by the University.

8.10.2 Award of Degrees and Diplomas

- (i) A student shall be deemed to have completed the requirements of a Programme and

declared eligible for award of a Degree or Diploma, only if he has completed all the requirements specified in the University Ordinances, Examination Manual and/or the Programme and Curriculum details.

- (ii) Three divisions as defined below shall be awarded:

Division	CGPA
First with Honours	≥ 8.00

First	$\geq 6.00 < 8.00$
Second	$\geq 5.00 < 6.00$

Note: For securing a Degree and Diploma in First Division with Honours, a student must pass all the courses both theory and practical in the **first attempt**, i.e., without ever being awarded a Re-appear or a Fail grade.

8.10.3 Doctoral Programme

Examination, evaluation, and award of degrees of Doctoral Programme(s) shall be conducted in accordance with the rules and regulations framed by the University in this regard after due approval by the Academic Council and Executive Council.

9. AWARD OF DEGREES, DIPLOMAS AND OTHER DISTINCTIONS

9.1 The Degrees and Diplomas shall be awarded to the successful students based on their performance evaluated through examinations and/or any other method of testing prescribed by the Academic Council.

However, the degrees shall be awarded by the University only after approval by U.P. Higher Education Council in terms of Section 51(2) of the U.P. Private Universities Act, 2019.

9.2 The Degrees shall be awarded in annual Convocation or in a function specially organized for the purpose.

9.3 The text and the format of the degrees and citations shall be approved by the Academic Council.

9.4 The Chancellor or in his absence, the Vice- Chancellor shall preside over the Convocation/ Special function.

9.5 Duplicate Degree

A duplicate degree shall be issued to a student who has lost his degree, after the completion of following steps: The student shall file an F.I.R. at the local police station and shall publish it in the national newspaper also.

(a) The student shall submit an affidavit on an INR 10/- stamp paper that he has lost the

degree.

- (b) The student shall submit a written request together with a payment of prescribed fee to the office of the Dean of the Institute concerned.
- (c) The Dean's Office will forward the application with all documents to the Office of Controller of Examination.
- (d) After checking all the documents, the data of the student shall be sent to the printing agency for printing the degree.

Once the degree has been printed and received, a “DUPLICATE” stamp shall be affixed to the duplicate of the degree at the Controller of Examination office and sent to the Institute concerned to be given to the student.

10. RESIDENCE OF STUDENTS

The University shall provide boarding and lodging facilities to the students, both boys and girls, in hostels to the extent possible, at applicable rate. The matters relating to allotment of hostel rooms, maintenance of discipline amongst hostel inmates, resolution of their day-to-day problems and welfare of hostel residents shall be looked after by Inter Hostel Administration (IHA). The Hostel Manual, the rules and regulations framed by IHA shall be implemented with the approval of the Executive Council.

11 SPECIAL ARRANGEMENT FOR THE RESIDENCE AND TEACHING OF WOMEN STUDENTS

- (i) The campus shall have hostel facility for the women students. The hostel shall offer lodging and boarding facilities to cater to the needs of the female students. Every hostel shall be supervised by a warden, who shall ensure that utmost care is taken to provide the best amenities and security to the students.
- (ii) The University shall not only seek to promote equality amongst students without any prejudice to their sex, but shall ensure that every woman employee and student is

treated with dignity and respect. The general policy shall be geared towards elimination of any discrimination against or harassment of a woman.

- (iii) The University shall endeavor to ensure a safe and secure environment for all the females on the campus. The campus shall have hostel facility offering lodging, boarding and other amenities for the women students. Every hostel shall be supervised by a warden.
- (iv) In pursuance of the UGC guidelines, as issued from time to time, the University shall constitute an Internal Complaint Committee (ICC) to prevent sexual harassment on the campus. The Committee shall take necessary steps for prompt redressal of complaints in conformity with 'The Sexual Harassment of Women at Workplace (Prevention, Prohibition & Redressal)' Act, 2013 and the rules framed there under.
- (v) The Committee, apart from handling the specific cases of complaints received from female students/employees, shall also initiate measures for prevention of sexual harassment and strengthening of the safety and security of women. It shall help spread awareness on gender related issues through planning of workshops, skits, street plays, etc.

12. MAINTENANCE OF DISCIPLINE AMONG STUDENTS

12.1 General

- (a) Section 38 (i) of the Statutes provides that all powers relating to maintenance and enforcement of discipline and taking disciplinary action against the students shall vest in the Vice-Chancellor.
- (b) Section 38 (ii) of the Statutes provides that "without prejudice to the generality of his powers relating to maintaining discipline amongst students of the University and taking desired action in the interest of maintaining discipline, the Vice Chancellor shall be guided by the recommendations of the Chief Proctor or the Proctorial Committee'.
- (c) Section 38 (iii) of the Statutes provide that "the Vice Chancellor may delegate all or such of his powers, as he deems proper, except that of expulsion of a student from the University, to the Chief Proctor, to such other persons as he may specify in this behalf".

- (d) Section 14 of the Statutes provides for the appointment, powers, and functions of Chief Proctor, Associate/ Assistant Proctors, and Proctorial Committee.
- (e) The Deans of Institutes may be delegated powers to exercise disciplinary control over the students in their respective Institutes.
- (f) Dean Students' Welfare may be delegated powers to exercise disciplinary control over the students staying in Hostels and for the act of indiscipline committed in common areas of the University campus.

12.2. Ethics and Conduct

1. The code of conduct and ethics for students includes, *inter-alia*, the following:
 - (a) He shall be a regular student and will complete his studies in the University, in accordance with the University Ordinances, rules and regulations in regard to 75% attendance, examinations and academic progression;
 - (b) In case He is forced to discontinue his studies for any legitimate reason, He may be relieved from the University only after the written approval of the Institute, through its Dean or any such authority who has been authorized for the purpose;
 - (c) He undertakes to deposit University fee and other charges as per the University schedule and that they are aware that in case of default in payment, late fee charges shall be applicable and, pending clearance of any such dues, the students may not be allowed to attend classes or to take the examination.
 - (d) In case He is allowed to leave the University, He shall clear all pending tuition fees, hostel/mess dues and any other dues/penalty imposed on him. If He had joined the University on scholarship, the said scholarship shall be revoked and He shall have to deposit the amount received on this account, before such release/ migration is allowed.
2. The students are expected to uphold highest standards of academic integrity, respect towards teachers, fellow students and society as a whole, and also honour the right to property and safety of others.
3. At the time of admission, each student/parents shall undertake that in case the student is admitted to the University hostel, He shall scrupulously follow the Hostel Manual.

- 4 Students must deter/ refrain from indulging in any form of misconduct, including participation in any activity, including dharna or agitation on the campus or off the campus that may affect the University's reputation and interests. The various forms of misconduct include:
- (a) Any act of discrimination or abuse, physical or verbal, based on any person's gender, race, religion, religious beliefs, caste, colour, region, language, disability, sexual orientation, marital or family status, physical or mental disability, etc.;
 - (b) intentionally damaging, destroying or causing harm to University assets and property or properties of other students, staff or faculty members;
 - (c) any disruptive activity in the class room, examination room or in any event sponsored or organized by the University;
 - (d) inability to produce the identity card issued by the University or refusing to produce it on demand by the University authorities, including the security personnel on the campus. Forcible entry through the turnstile gates or boom barriers or scaling of the boundary wall;
 - (e) indulgence in the activities prohibited by the University include:
 - i. organizing gatherings/meetings or processions without permission from the University;
 - ii. accepting membership of religious, terrorist, and anti-national groups prohibited by the University/Government;
 - iii. encouraging or indulgence in violence or any act of moral turpitude.
 - (f) possession of or carrying of or use of any weapon, potential weapons, ammunition, explosives or fireworks, contrary to law or University instructions on the campus or off-campus;
 - (g) possession or use of harmful chemicals and banned drugs;
 - (h) indulging in physical assault or threat to use physical force against any member of the teaching, non-teaching staff or any student of the University.
 - (i) indulgence in any form of gambling, bribing, or corruption in any manner;
 - (j) indulgence in absenteeism, unpunctuality, and resorting to mass bunking, and or boycotting examinations, or extra-curricular activities;
 - (k) smoking on the University campus or University provided transport;

- (l) possessing, consuming, distributing, selling of alcohol on the University campus and/or throwing any empty/filled bottles inside the campus or on the University road, particularly with an intention to harm someone;
 - (m) parking a vehicle in a no parking zone or in area earmarked for parking other type of vehicles or bringing vehicle inside the University campus, without proper authorization;
 - (n) rash driving on the campus that may cause any inconvenience or injury to others;
 - (o) not disclosing a pre-existing health condition, either physical or psychological, to the Mentor/Warden, which may cause obstruction to the academics of either self or other students or putting them to any kind of risk;
 - (p) theft of University property or the property of any student, staff and faculty;
 - (q) unauthorized access to the privacy or property of the University and other fellow students, Staff and Faculty members;
 - (r) misbehavior with other students, faculty and staff at any time, including at the time of University events or activities;
 - (s) engagement in disorderly, lewd, or indecent conduct including, but not limited to, creating unreasonable and loud noise; pushing and shoving of fellow students or any staff; inciting or participating in a riot or group disruption at the University premises.
 - (t) indulgence in any act that violates the dignity of or is derogatory to the women in general which may include any gesture, inappropriate behavior, any verbal or written communication including WhatsApp messages, etc.; and violation of any provision of the Civil Right Protection Act 1976; which prohibits casteism and untouchability in any form or inciting any other person to do so.
5. Students are expected not to interact, on behalf of the University, with media representatives or invite media persons on to the campus, without the permission of the University authorities.
 6. Students are not permitted to tape or record lectures/discussions/exchanges in the classroom or actions of other students, faculty, or staff through audio or video gadgets without prior permission.
 7. Students are not permitted to provide audio and video clippings of any activity on the campus to media without prior permission.

8. Students are expected to use social media such as face book, WhatsApp, twitter, etc. and other electronics and print media carefully and responsibly. They cannot post derogatory or offensive comments on social media or electronic & print, or indulge in any such related activities that may have grave ramification on the reputation of the University or any individual.
9. Theft, abuse or unauthorized interference with the University IT infrastructure and other electronic resources such as computer and electronic communication facilities/systems and services that includes unauthorized entry, use, tampering, etc. of the University property or facilities, private residences of staff/faculty etc., offices, classrooms, and other such facilities are liable for strict disciplinary action which may include expulsions / rustication from the University.
10. There is zero tolerance towards any student indulging in any form of obscene comments, harassment, etc., which are defined as misconduct and are triggered on account of a person's race, colour, caste, creed, national or ethnic origin, citizenship, sex, religion, age, sexual orientation, gender identity, marital status, physical or mental disability, medical condition, etc.

12.3. **Disciplinary Measures**

If there is a case against any student for a possible breach of Code, the case shall be referred to the **Proctorial Committee** who will recommend a suitable disciplinary action after proper enquiry into the alleged violation. The accused shall be given a reasonable opportunity to defend himself but in case the accused fails to appear before the Proctorial Committee, the Committee may make an *ex parte* recommendation. The Committee may interrogate the affected students and concerned staff to ascertain the misconduct and suggest one or more of the following disciplinary actions, based on the gravity/nature of the misconduct/offence committed for approval of the Vice-Chancellor.

1. **Warning and/ or Placed on Conduct Probation-** Indicating that the action of the accused student was in violation of the Code of Conduct and Ethics for the students of the University, and any further act(s) of misconduct shall result in severe disciplinary action.

2. **Debarred** from taking examinations or punished by cancellation of examination results.
3. **Suspension-** A student may be suspended for a specified period of time which will entail prohibition on participating in student related activities, classes, programs, etc. Additionally, the student will be forbidden to use various University facilities, unless permission is accorded by the competent authority. Suspension may also follow by possible expulsion / rustication from the University, along with additional penalties:
4. **Restrictions-** Indicating that the student is perceived as a threat to other students, staff or university property, etc. and as such he is being reprimanded with restricted access to various facilities on the campus for a specified period.
5. **Community Service-** A student may be asked to do some kind of community service for a specified period of time, which may be extended, if need be. However, any future misconduct, along with failure to comply with any specified conditions imposed on the student, may lead to severe disciplinary action, including suspension or expulsion.
6. **Monetary Penalty-** A monetary penalty or addition security deposit may be imposed on the delinquent student, which may also include suspension and / or forfeiture of part or whole scholarship/fellowship, for a specific period.
6. **Expulsion/Rustication–** The student may be expelled/rusticated from the University permanently, indicating prohibition from entering the University premises or participating in any student related activities or entering campus residences, etc. The attendant penalty may include withholding the Grade cards, Degree Certificate or any other certificate for the courses studied or work carried out and ineligibility to reapply for admission to the University for a specified period.

12.4. Appeal

If any student is aggrieved by the imposition of any of the above mentioned penalties, He may appeal against the punishment to the Vice Chancellor. The Vice Chancellor may decide on one of the followings:

- (a) may accept the recommendation of the Proctorial Committee and confirm the punishment as recommended by the Committee, or may modify and impose any of the punishments as contained herein, keeping in view the gravity of his misconduct; or

- (b) may refer the case back to the Proctorial Committee for re-consideration.

The Vice Chancellor's decision shall be final and binding in all such cases.

12.5. Anti-Ragging

The University shall put in place an effective and zero-tolerance policy of Anti-Ragging, based on the 'UGC Regulation on Curbing the Menace of Ragging in Higher Educational Institutions, 2009. The said UGC Regulation shall apply *mutatis mutandis* to the University and the students shall follow the rules and regulation laid down in this regards.

1. What Constitutes Ragging

Ragging constitutes one or more of the following acts:

- (a) any conduct by any student or a group of students whether by words spoken or written or by an act which has the effect of teasing, treating or handling with rudeness of any student;
- (b) indulging in rowdy or undisciplined activities by any student or students which causes or is likely to cause annoyance, harassment, hardship, physical or psychological harm or to raise fear or apprehension in any other student;
- (c) forcing or asking any student to do an act which such student may not in the ordinary course do and which has the effect of causing or generating a sense of shame, torment or embarrassment so as to adversely affect the physique or psyche of such a student;
- (d) any act by a senior student that prevents, disrupts or disturbs the regular academic activity of any student;
- (e) exploiting the services of a student for completing the academic tasks assigned to an individual or a group of students;
- (f) any act of financial extortion or forceful expenditure burden put on a student by senior students;

- (g) any act of physical abuse including all variants of it: sexual abuse, stripping, forcing obscene and lewd acts, gestures, causing bodily harm or any other danger to health or person;
- (h) any act or abuse by spoken words, emails, post, public insults which would also include deriving perverted pleasure, vicarious or sadistic thrill from actively or passively participating in the discomfiture to any other student;
- (i) any act that affects the mental health and self-confidence of any other student with or without an intent to derive a sadistic pleasure or showing off power, authority or superiority by a student over any other student.

2. Anti-Ragging Committee

The Anti-Ragging Committee, as constituted by the Vice Chancellor and headed by Dean, Students' Welfare or any other Senior Professor from the University, shall examine all complaints of anti-ragging and come out with recommendations based on the nature of the incident. The Committee shall have, as its members, the Dean(s), faculty members, student counsellors, senior administrative officers, etc.

3. Anti-Ragging Squad

To render assistance an Anti-Ragging Squad, is a smaller body, may also be constituted consisting of certain members drawn from the campus community. The said Squad shall keep a vigil on ragging incidents, if any, taking place on the campus. The Squad is empowered to inspect places of potential ragging, and also to make surprise raids in hostels and other hotspots. It may also investigate incidents of ragging and make recommendations to the Anti-Ragging Committee and shall work under its overall guidance of the Anti-Ragging Committee.

4. Punishment

A student found guilty by the Committee will attract one or more of the following punishments, as imposed by the Anti-Ragging Committee:

- (a) Suspension from attending classes and academic privileges;
- (b) Withholding/withdrawing scholarship/fellowship and other benefits;
- (c) Debarring from appearing in any test/examination or other evaluation process;

- (d) Withholding of results;
- (e) Debarring from undertaking any collaborative work or attending national or international conferences/symposia/meeting to present his/her research work;
- (f) Suspension/expulsion from the hostels and mess;
- (g) Cancellation of admission;
- (h) Expulsion from the University and consequent debarring from admission to any other institution for a specified period;
- (i) In cases where the persons committing or abetting the act of ragging are not identified, the University may resort to collective punishment;
- (j) If need be, in view of the intensity of the act of ragging committed, a First Information Report (FIR) shall be filed by the University with the local police.

The Anti-Ragging Committee of the University shall take appropriate decision, including imposition of punishment, depending on the facts and circumstances of each incident of ragging and its gravity.

5. Appeal

An appeal against any punishment that may be imposed shall lie to the Vice-Chancellor and his decision shall be binding on all concerned.

12.6. Sexual Harassment

The Policy in regard to Prevention, Prohibition and Redressal of sexual harassment of students at the University is in line with the University Grants Commission (Prevention, prohibition and redressal of sexual harassment of women employees and students in higher educational institutions) Regulations, 2015 and shall apply *mutatis mutandis* to the students of the University. In the light of the UGC Regulation, an Internal Complaints Committee (ICC) shall be constituted by the University.

Students may note that sexual misconduct or harassment encompasses a range of conduct, including but not limited to sexual assault, unwanted touching or persistent unwelcome comments, e-mails, or pictures of an insulting or degrading sexual nature, which shall be determined on the basis of circumstances in each case. Any aggrieved student may approach the Internal Complaints Committee (ICC), the details of which are available in the Student Information Brochure and on the University Website.

12.7. Students' Grievance Redressal

1. Definition: "Grievance" means, and includes, complaint(s) made by an aggrieved student in respect of the following, namely:

- i. admission contrary to merit determined in accordance with the declared admission policy of the institution;
- ii. irregularity in the process under the declared admission policy of the institution;
- iii. refusal to admit in accordance with the declared admission policy of the institution;
- iv. non-publication of prospectus by the institution, in accordance with the provisions of these regulations;
- v. publication by the institution of any information in the prospectus, which is false or misleading, and not based on facts;
- vi. withholding of, or refusal to return, any document in the form of certificates of degree, diploma or any other award or other document deposited by a student for the purpose of seeking admission in such institution, with a view to induce or compel such student to pay any fee or fees in respect of any course or program of study which such student does not intend to pursue;
- vii. demand of money in excess of that specified to be charged in the declared admission policy of the institution;
- viii. violation, by the University, of any law for the time being in force in regard to reservation of seats in admission to different category of students;
- ix. non-payment or delay in payment of scholarships or financial aid admissible to any student under the declared admission policy of such University, or under the conditions, if any, prescribed by the Commission;
- x. delay by the University in the conduct of examinations, or declaration of results, beyond the schedule specified in the academic calendar of the institution, or in such calendar prescribed by the Commission or the State Government;

- xi. failure by the University to provide student amenities as set out in the prospectus, or is required to be extended by the University under any provisions of law for the time being in force;
- xii. non-transparent or unfair practices adopted by the University for the evaluation of students;
- xiii. delay in, or denial of, the refund of fees due to a student who withdraws admission within the time mentioned in the prospectus, or as may be notified by the Commission;
- xiv. complaints of alleged discrimination of students from the Scheduled Castes, the Scheduled Tribes, Other Backward Classes, Women, Minority or persons with disabilities categories;
- xv. denial of quality education as promised at the time of admission or required to be provided; and
- xvi. harassment or victimization of a student, other than cases of harassment, which are to be proceeded against under the penal provisions of any law for the time being in force.

2. Mandatory Publication of Prospectus, its Contents and Pricing

- i. The University, shall publish and/or upload on its website, before expiry of at least sixty days prior to the date of the commencement of the admission to any of its courses or programs of study, a prospectus containing the following for the information of persons intending to seek admission to the University and the general public, namely:
 - (a) the list of programs of study and courses offered along with the broad outlines of the syllabus specified by the appropriate statutory authority or by the University, as the case may be, for every course or program of study, including teaching hours, practical sessions and other assignments;
 - (b) the number of seats approved by the appropriate statutory authority in respect of each course or program of study for the academic year for which admission is proposed to be made;

- (c) the conditions of educational qualifications and eligibility including the minimum and maximum age limit of persons for admission as a student in a particular course or program of study, specified by the University;
- (d) the process of selection of eligible candidates applying for such admission, including all relevant information in regard to the details of test or examination for selecting such candidates for admission to each course or program of study and the amount of fee prescribed for the admission test;
- (e) each component of the fee, deposits and other charges payable by the students admitted to the University for pursuing a course or program of study, and the other terms and conditions of such payment;
- (f) rules/regulations for imposition and collection of any fines in specified heads or categories, along with details of minimum and maximum fine as may be imposed.
- (g) the percentage of tuition fee and other charges refundable to a student admitted to the University in case such student withdraws from the University before or after completion of course or program of study and the time within and the manner in which such refund shall be made to that student;
- (h) details of the teaching faculty, including their educational qualifications, along with their type of appointment (Regular/visiting/guest) and teaching experience of every member thereof.
- (i) information with regard to physical and academic infrastructure and other facilities including hostel accommodation and its fee, library, hospital or industry wherein the practical training is to be imparted to the students and in particular the amenities accessible by students on being admitted to the University;
- (j) all relevant instructions in regard to maintaining the discipline by students within or outside the campus of the University, and, in particular such discipline relating to the prohibition of ragging of any student or students and the consequences thereof and for violating the provisions of any regulation in this behalf made by the relevant statutory regulatory authority; and

- (k) Any other information as may be specified by the Commission and the State Government;

provided that the University shall publish/upload information referred to in the above clauses, (a) to (k) of this ordinance, on its website, and the attention of prospective students and the general public shall be drawn to such publication being on the website through advertisements displayed prominently in different newspapers and through other media:

- ii. The University shall fix the price of each printed copy of the prospectus, being not more than the reasonable cost of its publication and distribution and no profit be made out of the publication, distribution or sale of prospectus.

3. Student Grievance Redressal Committees (SGRC)

A. Institute Level Student Grievance Redressal Committee (SLSGRC)

- (i) There shall be an Institute Level Student Grievance Redressal Committee (SLSGRC) constituted at the level of each Institute of Studies. The composition of the Committee shall be as under:
 - (a) Dean of the Institute – Chairperson;
 - (b) Two Professors, from outside the Institute to be nominated by the Vice Chancellor–Members;
 - (c) A Faculty of the Institute, well-versed with the mechanism of grievance redressal, to be nominated by the Chairperson– Member;
 - (d) A representative from among students of the Institute to be nominated by the Vice Chancellor based on academic merit/excellence in sports/performance in co-curricular activities – Special Invitee.

Note: *To ensure representation of women on the committee, the Vice-Chancellor may induct one women faculty.*

- (ii) The term of the Chairperson, members of the Committee and the nominees shall be of two years.

- (iii) The quorum for the meeting of SLSGRC, including the Chairperson, but excluding the special invitee, shall be three.
- (iv) Any complaint relating to the Institute, Department or Centre of the Institute shall be addressed by the aggrieved student to the administrative officer of the said Institute, who will either deal with it at the Institute level or shall remit it to the concerned Department or Centre of the Institute.
- (v) In case the said grievance does not get resolved within five (05) working days of the receipt of the complaint in the Institute, the same shall automatically be forwarded to the SLSGRC.
- (vi) In considering the grievances before it, the SLSGRC shall follow principles of natural justice.
- (vii) The SLSGRC shall submit its report with recommendations, if any, to the Registrar, with a copy thereof to the aggrieved student, within a period of fifteen (15) days from the date of receipt of the complaint.
- (viii) In case the aggrieved student remains unsatisfied, he may take up the matter to the level of University Student Grievance Redressal Committee (USGRC). Likewise, the University may either accept the recommendations for follow up action or may, at its discretion, take up the matter to the level of USGRC.

B. Institutional Student Grievance Redressal Committee (ISGRC)

- (i) There shall be an Institutional Student Grievance Redressal Committee (ISGRC) constituted by the Vice Chancellor at the level of the University to deal with such complaint that do not relate to any academic Department, Institute or Centre of the University. The composition of the Committee shall be as under:
 - (a) Dean/Senior Professor of University, nominated by the Vice-Chancellor–Chairperson;
 - (b) Dean, Students Welfare – Member;
 - (c) One senior non-academic functionary nominated by the Vice-Chancellor – Member;
 - (d) Proctor – Member;

- (e) A representative from among students of the University to be nominated by the Vice Chancellor based on academic merit/excellence in sports/performance in co-curricular activities – Special Invitee.

Note: *To ensure representation of women on the committee, the Vice-Chancellor may induct one women faculty.*

- (ii) The term of the members/nominee of the committee shall be of two years.
- (iii) The quorum for the meetings of the ISGRC, including the Chairperson, but excluding the special invitee, shall be three.
- (iv) Any complaint other than those relating to the Institute, Department or Centre of the Institute shall be addressed by the aggrieved student to the concerned administrative head, who will deal with it at his level, in consultation with his staff.
- (v) In case the said grievance does not get resolved within five (05) working days of the receipt of the complaint in the Department, the same shall automatically be forwarded to the ISGRC.
- (vi) In considering the grievances before it, the ISGRC shall follow principles of natural justice.
- (vii) The ISGRC shall send its report with recommendations, if any, to the Registrar, along with a copy thereof to the aggrieved student, within a period of 15 workings days from the date of receipt of the grievance.
- (viii) In case the aggrieved student remains unsatisfied, he may take up the matter to the level of University Student Grievance Redressal Committee (USGRC). Likewise, the University may either accept the recommendations for follow up action or may, at its discretion, take up the matter to the level of USGRC.

C. University Student Grievance Redressal Committee (USGRC)

- (i) The Vice Chancellor shall constitute such numbers of University Student Grievance Redressal Committees (USGRC), as may be required to consider grievances unresolved by one or more SLSGRC or the ISGRC.

- (a) Pro-Vice Chancellor or in his absence a Dean nominated by the Vice-Chancellor – Chairperson;
- (b) Dean, Student Welfare or equivalent – Member;
- (c) Two Deans drawn from the Institutes of the Studies, other than those connected with reports of SLSGRC under review, to be nominated by the Vice-Chancellor – Members;
- (d) One Professor of the University nominated by the Vice-Chancellor- Member;
- (e) Two representatives from among students of the college to be nominated by the Vice Chancellor based on academic merit/excellence in sports/performance in co-curricular activities – Special Invitees.

Note: *To ensure representation of women on the committee, the Vice-Chancellor may induct one women faculty.*

- (ii) The Chairperson, members and the special invitee/nominee shall have a term of two years.
- (iii) The quorum for the meeting, including the Chairperson, but excluding the special invitee, shall be three.
- (iv) In considering the grievances before it, the USGRC shall follow principles of natural justice.
- (v) The USGRC shall send its report and recommendations, if any, to the Dean of the Institute, Heads of Department & Centre, or the Registrar and other administrative Heads, with a copy thereof to the aggrieved student, within 15 days of the receipt of the grievance by the Committee, for necessary compliance and report to the Vice-Chancellor.
- (vi) Any student aggrieved by the decision of the University Student Grievance Redressal Committee may prefer an appeal to the Vice-Chancellor who shall, within a period of fifteen days from the date of receipt of the appeal, take a final view which shall be communicated to the aggrieved student within the next three working days.

4. Ombudsperson

Any student aggrieved by the decision of the University Student Grievance Redressal Committee and disposal of the appeal by the Vice-Chancellor, may prefer further appeal, within a period of fifteen days, to the Ombudsperson appointed by the State Government in terms of the University Grants Commission (Redress of Grievances of Students) Regulation, 2019;

pending appointment of the Ombudsperson by the State Government, the Vice-Chancellor shall be the final authority for disposal of the appeal(s).

5. Functions of Ombudsperson

- (i) The Ombudsperson shall hear appeals from an aggrieved student, only after the student has availed all other remedies provided under this Ordinance.
- (ii) While issues of malpractices in the conduct of examination or in the process of evaluation may be referred to the Ombudsperson, no appeal or application for revaluation or re-totaling of answer sheets from an examination, shall be entertained by the Ombudsperson unless specific irregularity materially affecting the outcome of specific instance of discrimination is indicated.
- (iii) The Ombudsperson may avail assistance of any person, as amicus curiae, for hearing complaints of alleged discrimination.
- (iv) The Ombudsperson shall make all efforts to resolve the grievances within a period of 30 days of receiving the appeal from the aggrieved student(s).

6. Procedure for Redressal of Grievances by Ombudsperson and Student Grievance Redressal Committee

- (i) The University shall maintain an Online Portal where any aggrieved student may submit an application seeking redressal of grievance.
- (ii) On receipt of an online complaint, the University shall, depending on the nature of complaint refer the complaint to the appropriate Administrative Officer in the Institutes (for academics) or the Head of the Service Departments (other than

academics) for appropriate necessary action, as indicated in the preceding sub-clause of the Grievance Redressal Committee(s).

- (iii) The Student Grievance Redressal Committee, as the case may be, shall fix a date for hearing the complaint which shall be communicated to the concerned officials in the University and the aggrieved student.
- (iv) An aggrieved student may appear either in person or authorize a representative to present the case.
- (v) Grievances not resolved by the University Student Grievance Redressal Committee or on appeal to the Vice Chancellor, shall be referred by the student to Ombudsperson, within the stipulated period.
- (vi) University shall extend co-operation to the Ombudsperson or the Student Grievance Redressal Committee(s), as the case may be, in early redressal of grievances; any failure to do so may be reported by the Ombudsperson to the Commission, who shall take action in accordance with the provisions of these regulations.
- (vii) The Ombudsperson shall, after giving reasonable opportunities of being heard to both parties, on the conclusion of proceedings, pass such order, with reasons thereof, as may be deemed fit to redress the grievance and provide such relief to the aggrieved student, as may be appropriate.
- (viii) The University, as well as the aggrieved student, shall be provided with copies of the order under the signature of the Ombudsperson, and the University shall place it for general information on its website.
- (ix) The University shall comply with the recommendations of the Ombudsperson; and the Ombudsperson shall report to the Commission any failure on the part of the University to comply with the recommendations.
- (x) The Ombudsperson may recommend appropriate action against the complainant, where a complaint is found to be false or frivolous.

7. Information regarding Ombudsperson and Student Grievance Redressal Committees

The University shall prominently publish on its website and the prospectus all relevant information in respect of the Student Grievance Redressal Committee(s) under its purview, and the Ombudsperson for the purpose of appeal (s)

8. Group Grievance Redressal

Redressal of Group grievances may pertain to the following:

- (a) Group grievances lodged together by several students shall be referred to the Head of the Department who will try and resolve the matter within a period of one week.
- (b) In case the students are not satisfied with the decision of the Head of Department, students may approach the Admission and Facilitation Cell for its consideration.
- (c) If the grievance remains unresolved within two weeks from the day the grievance was lodged with the Admission and Facilitation Cell, an appeal may be made to the Vice-Chancellor, who will try to resolve the matter.

The Decision of the Vice-Chancellor shall be final and binding.

10. Redressal of Grievances related to the Accounts, Library, Hostels, Food, Maintenance, Sports and Security, etc.:

- (a) The grievances pertaining to the Accounts Branch, Library, Hostels, Food, Maintenance, Sports, Security and related issues shall be lodged with the respective administrative Head/in-charge. The Heads shall personally look into the grievance(s) and settle it within a period of one week.
- (b) If a resolution is not provided within the said timeline; the student may approach the Admission and Facilitation Cell who will try to get the issue(s) resolved in a week's time.
- (c) If the matter remains unresolved after approaching the Facilitation Cell, the students may make an appeal to the Registrar who shall try to resolve the matter within a period of one week.
- (d) The decision of Registrar shall be final and binding.

13. ESTABLISHMENT OF INSTITUTES/ CENTRES/ DEPARTMENTS

- a. The University shall have such Institutes of Studies, Special Centres and Specialized

Laboratories as may be approved by the Executive Council.

- b. The University shall have the following Institutes, namely:
 - i. Saroj Institute of Artificial Intelligence
 - ii. Saroj Institute of Management and Technology
 - iii. Saroj Institute of Basic & Health Sciences
 - iv. Saroj Institute of Humanities & Education
 - v. Saroj Institute of Entrepreneurship & Business
 - vi. Saroj Institute of Film & Fashion
 - vii. Saroj Institute of Sports Sciences & Research
 - viii. Lucknow Institute of Pharmacy
- c) Every Institute of Studies (hereinafter referred to as the Institute) shall consist of such Departments as may be assigned to it with the approval of the Executive Council.
- d) Each Institute/Centre/ Department shall have a Dean/ Director/ Head of the Department, respectively.
- e) Deans, Directors, and Head of Departments shall be appointed and perform functions as per the provisions in Section 9, 11, and 15 of the Statutes respectively.
- f) Every Institute shall have a Faculty Board (Institute Board) and each Department shall have a Board of Studies in accordance with Section 25 and 26 of the University Statutes.

13.1 Establishment of Special University Units

On the recommendations of the Academic Council, the Executive Council may establish Special Centres and Specialised laboratories for undertaking Interdisciplinary and Special Studies Programmes including Centres of Excellence, Centres of Studies, Special Centres, Inter-disciplinary Studies, Specialized Laboratories, etc. The Executive Council may also constitute Special Board(s) of Studies and academic committee(s) for their guidance.

13.2. Creation of Other Bodies of the University

For smooth and efficient functioning of the University, the Executive Council may constitute standing, advisory and/ or other body(ies)/ committee(s) as it may deem fit. The composition, powers, functions and the tenure of these bodies/ committees shall be as decided by the Executive Council.

14. COOPERATION AND COLLABORATION WITH OTHER UNIVERSITIES/ INSTITUTIONS, ETC.

- (i) The University shall network and collaborate with institutions of higher education, research institutions, industry, 'persons of eminence' and / or non-governmental organizations of national and international repute, subject to compliance with the applicable UGC Regulations, as issued from time to time.
- (ii) The objective of such networking and collaboration shall be to further teaching, research, extension, consultancy aimed at providing opportunities of enhanced learning, hands on experience, cross-cultural dialogue and exchange of ideas for the faculty, research scholars and students, and to consider offering joint degree programs, Indian as well as foreign, subject to the UGC Regulations if any on the subject.
- (iii) For the purpose, the University may enter into exchange programmes of teachers and students, sharing of course and instruction materials, sharing of credits, and holding of joint workshops, joint research projects funded by national and international agencies, and providing access to each other's facilities, and to institute joint academic degrees in accordance with the standards recognized by the regulatory bodies of the respective countries.
- (iv) The University may collaborate for establishment of University centres abroad, may develop e-courses for interactive and integrated learning, sharing of library, laboratory and other learning resources or any other activity of mutual benefit.
- (v) The University may enter into contracts, including Memorandum of Understanding (MoU) with other institutions of national and international repute for the purpose outlined hereinabove.
- (vi) Any proposal in regard to the above, emanating from the Department/Institute shall be examined by the Dean Academic Affairs and /or Dean Research, and the same shall

be submitted through the Registrar to the Vice-Chancellor who shall, after due consideration, submit the proposals to the relevant statutory authority of the University for approval.

All the contracts and MoUs will be for a specified time period and will be reviewed periodically at appropriate level

15. REMUNERATION FOR EXAMINATIONS

The Vice Chancellor may from time to time decide the remuneration for the external paper setters, moderators, examiners, evaluators, invigilators, tabulators and other staff members engaged in examination work. The duties shall, however, be mandatory for the University faculty and staff members and no remuneration shall be paid to them under ordinary circumstances.

16. CONVOCATION

16.1 General

- (a) Convocation for the award of degrees and diplomas and other distinctions of the University shall normally be held annually in the main campus of the University or at such other places as approved by the Executive Council on such date as the Chancellor may fix.
- (b) The Chancellor shall, if present, preside over the Convocation as well as Special Convocation of the University held for conferring degrees. In the absence of Chancellor, Pro Chancellor, and in his absence Vice Chancellor shall preside.
- (c) The Chancellor, if present, shall confer degrees on students. Vice Chancellor in his absence shall confer degrees on students. Further, provided that in extreme emergency, the degrees, diplomas, certificates shall be awarded to the successful candidates before the Convocation with the approval of Vice Chancellor and the matter may be reported to the Academic Council.
- (d) The Controller of Examination shall prepare a list of students who have completed all the requirements for conferment of a degree and forward it to the Dean of the Institute.
- (e) The Dean shall forward the list to the Vice-Chancellor with his recommendation.

- (f) The Vice-Chancellor, if satisfied, shall grant his approval to the list of students received and instruct the Controller of Examination to prepare the degrees in approved format.
- (g) The Academic Council shall determine from time to time, as to the degrees and diplomas which may be conferred on graduates in person and the degrees and diplomas to be conferred in absentia at the convocation.
- (h) The Degree and Diploma Certificates may bear the signatures of the Registrar, and the Vice Chancellor. Provisional Degrees shall be signed by the Asst. /Dy. COE and the Dean of the Institute. Honorary Degree Certificates, however, shall be signed by the Vice Chancellor and Chancellor.

16.2. Order of Precedence for Academic Procession

- (a) The following order of precedence shall normally be observed (for entry) to the Convocation Venue, at the time of Convocation:
 - i. The Registrar
 - ii. Directors
 - iii. The Finance Committee
 - iv. The Planning & Strategy Board
 - v. The Academic Council
 - vi. The Executive Council
 - vii. The Governing Body
 - viii. The Dean, Academic Affairs, The Deans of Institutes, The Dean Research and Development, The Controller of Examinations and The Dean of Student's Welfare
 - ix. The Pro-Vice Chancellor (s)
 - x. The Vice Chancellor
 - xi. Guest(s) of Honour
 - xii. The Chancellor
 - xiii. Chief Guest

- (b) In case of other distinguished dignitaries present, precedence shall be regulated in accordance with the warrant of precedence or such official rules as may govern the matter.
- (c) The Vice Chancellor shall with the advice of Chancellor determine from time to time as to persons who will form the procession at the Convocation and their order of precedence.

16.3. Academic Costume

The Academic Costume shall be worn at the time of convocation by all members who are part of the procession and the Recipients of the degrees. The specifications viz colour, material, weaving, dying etc. shall be determined each time.

The colours for Recipients of degrees for various levels of programmes shall be different and determined each time.

16.4. Instructions for the Recipients of the Degrees / Awards

- (a) The Recipients must appear in the prescribed Academic dress.
- (b) After the issuance of “Convocation” notice, the Recipients must inform their confirmation to be present a week before the date fixed for Convocation. No Recipients be admitted to the Convocation, who has not sent his confirmation to be present, to the Registrar within the prescribed time. In exceptional cases, the Registrar may permit Recipients who have not sent their names to him within the prescribed time to be admitted to the Convocation, provided their applications are received by Registrar not later than 48 hours before the time of Convocation and are accompanied by a prescribed fee in each case. No Recipients whose application and requisite fee are received later than 48 hours before the time of Convocation will be allowed to take their degrees / diplomas at the Convocation.
- (c) Recipients who are unable to attend the Convocation will be admitted to the degrees in absentia in accordance with the rules prescribed from time to time.
- (d) The Recipients who fail to attend the Convocation or wish to have their degrees in absentia shall pay a prescribed fee to the University, before they are admitted to the degree. Their degree certificates will be mailed by Registered post.
- (e) Candidates must appear in the prescribed academic dress.

- (f) A rehearsal shall be arranged on or before the date of the Convocation at which the candidates getting degrees must be present. Candidates not present at the rehearsal without permission run the risk of not being admitted at the Convocation.

16.5. Conduct of Convocation / Presentation of the Recipients

- (a) The Chief Guest, Guest(s) of Honour, Chancellor, the Vice Chancellor, Pro-Vice Chancellor (s), Deans, Directors, Registrar and other members of procession shall take their seats at the designated places reserved for them.
- (b) On the procession entering the hall, the recipients and the audience shall stand and remain standing until the members of the procession have taken their seats.
- (c) The Registrar, with the consent of the Chancellor, if he is present, shall declare the convocation open. In the absence of Chancellor, and in his absence the Vice Chancellor shall declare the Convocation open.
- (d) The proceedings of the Convocation shall begin with recital of “University Song/ Kul Geet”.
- (e) The Vice Chancellor will present the annual report of the University.
- (f) In case degree of Honoris Causa is to be awarded, the Vice Chancellor shall welcome the distinguished person and shall read out citation and request the Chancellor to confer the Honorary Degree in the following words:
- (g) “By virtue of the authority vested in me as the Vice Chancellor of the Saroj International University, I request Chancellor that he may be pleased to graciously confer upon (Name of the distinguished person) the degree of Honoris Causa for his / her outstanding service.” Provided, that if the Vice Chancellor is presiding over the Convocation, the Pro-Vice Chancellor or in his absence the Dean Academics or the senior most Dean of Institute, shall read the citation and perform such functions which the Vice Chancellor would have performed.
- (h) The Chancellor (and Vice Chancellor in his absence) shall confer the honorary degree with the following invocation.
“I confer on (Name of the distinguished person) the degree of Honoris Causa.”
- (i) In case of other degrees, the Recipients who are to be awarded degrees at the Convocation shall be presented by the Deans of Institute.

- (j) The Deans of Institutes concerned will direct the recipients of the Degree of concerned programme to rise on their seats, and shall present them to the Chancellor / Vice Chancellor for Investiture.
- (k) The concerned students will acknowledge by a bow and sit down.
- (l) The Chancellor / Vice Chancellor will request the Chief Guest to present the medals and awards to the students. The Dean of Academic Affairs will announce the names of recipients of medals and other distinctions present them to the Chief Guest of the Convocation.
- (m) This will be followed by:
 - i. Address by the Chancellor
 - ii. Address by the Guest(s) of honour.
 - iii. Convocation Address by Chief Guest.
- (n) The Pro Vice Chancellor will thank the Chief Guest & other dignitaries present.
- (o) The Registrar, with the consent of Chancellor, if present, will declare the Convocation as closed. In the absence of Chancellor, the Vice Chancellor shall declare the Convocation as closed.
- (p) National Anthem.
- (q) The Academic Procession shall then leave the Convocation hall in the reverse order as it entered.

16.6. Special Convocation

- (a) A special Convocation may be held for the purpose of conferring Honorary Degree on a distinguished person(s).
- (b) The Academic procession of the special convocation shall be formed in the same order as laid down under clause 16.2 and followed by steps as laid down in clauses 16.3 to 16.5
- (c) The Vice Chancellor shall read out the citation and request the Chancellor to confer the Honorary Degree in the following words:

“I _____ the Vice Chancellor of Saroj International University, do hereby request Hon’ble Chancellor that he may be pleased to graciously confer upon

_____ (Name of the distinguished person) the Degree of ‘Honoris Causa’ for his / her outstanding services.”

The Chancellor shall confer the Honorary Degree in the following words:

“I confer on _____ (name of the Chief Guest) the degree of Honoris Causa.”

- (d) PROVIDED, that if the Vice Chancellor is presiding over the Special Convocation, the Pro-Vice Chancellor or in his absence the senior most Dean as the case may be, shall read the citation and perform such functions which the Vice Chancellor would have performed.
- (e) The recipient of the Honorary Degree will then present his/her address.
- (f) The Registrar shall seek the permission of the Chancellor to declare the Convocation closed in the following manner:
“Honorable Chancellor, May I with your permission declare the convocation closed.”
- (g) The Chancellor: “I permit.”
- (h) The Registrar: “I declare the convocation closed.”
- (i) The Registrar shall request the gathering to rise from their seats for the National Anthem.
- (j) The National Anthem.
- (k) Academic procession will leave in the reverse order as it entered.

17 APPOINTMENT, EMOLUMENTS, AND OTHER SERVICE CONDITIONS OF THE EMPLOYEES

17.1 Appointment, Emoluments, and Other Service Conditions of Non-Teaching Staff

The qualifications, experience, procedure of selection and the service conditions of appointment of non-teaching employees, shall be as laid down in the Manual of Human Resource Administration, Policies and Procedures and as approved by the Executive Council. The Statutory positions and other positions shall be governed as per provisions in the University Statutes and Manual of Human Resource Administration, Policies and Procedures.

7.2 The Teachers / Faculty of the University

The qualifications, experience, procedure of selection and the service conditions of appointment of teaching staff shall be as laid down in Statutes and elaborated in the Manual of Human Resource Administration, Policies and Procedures and as approved by the Executive Council. The Statutory and other positions shall be governed as per provisions in the University Statutes and Manual of Human Resource Administration, Policies and Procedures.

Faculty/Teachers of the University shall be of the following categories;

- Appointed teachers of the University.
- Recognized teachers of the University.
- Persons of Academic eminence conferred with the title of Professor Emeritus.

17.2.1 Appointed Faculty / Teachers of the University:

Employees paid by the University and are appointed as Professors, Associate Professors, and Assistant Professors or otherwise as teachers of the University in the manner prescribed in the University Statutes/ HR Manual and persons appointed by the University as Honorary, Distinguished or Adjunct Professors.

17.2.2 Recognized Faculty /Teachers of the University:

The guest/visiting faculty members or subject area experts, recognised by the University for guiding research and/ or delivering expert/special lectures and doing all other academic activities as assigned.

The qualifications of a recognized teacher shall be the same as that of appointed teachers of the University. Any relaxation to eligibility for appointing recognized teachers may be as per the guidelines laid down in Manual of Human Resource Administration, Policies and Procedures.

The period of recognition as a faculty /teacher of the University may be as decided at the time of recognition subject to a maximum of three years.

17.2.3 Professor Emeritus:

On a proposal received from the Faculty Board of an Institute and on the recommendations of the Academic Council as approved by the Executive Council, the Chancellor may confer on a person of academic eminence, the title of Professor Emeritus. Terms of other service conditions to engage the Professor Emeritus shall be as per the provisions laid down in Manual of Human Resource Administration, Policies and Procedures under heading of 'Teacher / Staff appointed beyond the age of Superannuation.'

18. INTERPRETATION

- 18.1 Any question relating to a typographical error, spelling mistake, clarification or interpretation related to any of the provision of these Ordinances shall be referred to the Chairman Executive Council whose decision shall be final and binding.
- 18.2 Notwithstanding anything contained in the Ordinances framed herein, any issue(s) not covered by these Ordinances, or in case of a difference of opinion in the interpretation in respect of any matter, whether expressly provided herein or not, the Vice Chancellor may take such measures as may be considered necessary and expedient by him to do so.

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